

Achievement of the Vision for Risk Management

Risk Management Strategic Objectives	Actions	Timescale	Lead	Success Criteria
1. Provision & implementation of a comprehensive Risk Management Framework.	1.1 Communication of the Risk Management Strategy to management, Councillors, and significant partners.	December 2010	Divisional Director Risk & Assurance Risk Manager	<ul style="list-style-type: none"> ▪ Adoption of the Risk Management Strategy. ▪ Staff & Councillors are aware of the Risk Management framework and processes. (Internal / External Audit Review).
	1.2 Provision of training / briefings to staff and Councillors to explain the risk management framework and their roles and responsibilities.	April 2011	Risk Manager	<ul style="list-style-type: none"> ▪ Completion of agreed briefing programme.
	1.3 Provision of support and assistance to raise the standard and use of risk registers.	April 2011	Risk Manager	<ul style="list-style-type: none"> ▪ Service Risk Management Reporting Dashboard records an improved overall 'RAG' rating over a period of twelve months.
	1.4 Monitor the practice of risk management and benchmark with other public sector bodies.	Ongoing		<ul style="list-style-type: none"> ▪ Use of Association of Local Authority Risk Managers (ALARM) National Performance Model for Risk Management.

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2. Regular monitoring, review & reporting on Corporate & Operational risks.	2.1 Quarterly review and update of Corporate Risk Register.	Quarterly (March / June / September / December)	Risk Manager	<ul style="list-style-type: none"> ▪ Updated version available through the intranet 'Risk Register Holding Area'.
	2.2 Submission of risk management component to the Quarterly Performance Pack. This will be submitted to Directors Group & Council Cabinet.	Quarterly (April / July / October / January)	Risk Manager	<ul style="list-style-type: none"> ▪ Reports submitted to agreed timescales. ▪ Directors Group and Cabinet confirm effectiveness of reports submitted.
	2.3 Quarterly assessment of Service & Team Risk Registers using adopted Risk Register Assessment Methodology.	Quarterly (April / July / October / January)	Risk Manager	<ul style="list-style-type: none"> ▪ Communication of assessment results to relevant Divisional Directors, Heads of Service & Function Managers.
	2.4 Attendance at quarterly meeting of Directorate Management Teams to provide feedback of Risk Register assessments and obtain input in relation to the Corporate Risk Register.	Quarterly (March / June / September / December)	Risk Manager	<ul style="list-style-type: none"> ▪ Achievement of Performance Indicator targets.
	2.5 Reporting to Audit Committee on Corporate Risk Register.	December 2010	Risk Manager	<ul style="list-style-type: none"> ▪ Submission of the Annual Risk Management Report

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3. Improved Decision Making (openness & transparency) including incorporation of Sustainability Impact Assessments.	<p>3.1 Update of existing 'Decision Making - Risk & Opportunity Management' Guidance to incorporate Sustainability Impact Assessments.</p> <p>3.2 Communication of the revised Risk Management Guidance.</p>	<p>December 2010</p> <p>December 2010</p>	<p>Risk Manager</p> <p>Risk Manager</p>	<ul style="list-style-type: none"> ▪ All decision making reports include a sustainability impact appraisal as part of the risk assessment. ▪ Sample testing of decision making reports identify the completion of an appropriate risk assessment recording both threats and opportunities.
4. Identification & evaluation of risks associated with key partners, contractors and community groups.	<p>4.1 Assist the Policy & Partnership Service to compile a Local Strategic Partnership Risk Register.</p> <p>4.2 Quarterly review of the LSP Risk Register by the LSP Board</p> <p>4.3 Requirement for all significant Council Partnerships to have a risk register (as recorded in the Partnership Toolkit) is communicated to all managers.</p> <p>4.4 Assess Service Risk Registers to verify Contract / Partnership risks are adequately identified and documented and report findings.</p>	<p>April 2010</p> <p>December 2010</p> <p>December 2010</p> <p>March 2011</p>	<p>Risk Manager</p> <p>Divisional Director Policy & Partnerships</p> <p>As above</p> <p>Risk Manager</p>	<ul style="list-style-type: none"> ▪ Comprehensive LSP Risk Register accessible through the 'Risk Register Holding Area'. ▪ Risk Registers approved by LSB Board. ▪ Publication of the Partnership Toolkit on the Intranet. ▪ Results included in Risk Management updates to Directorate Management Teams.

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5. Provision of effective risk management training for all relevant staff and Councillors.	<p>5.1 Risk Management Training Programme produced and circulated.</p> <p>5.2 Provision of training to relevant staff and Councillors.</p> <p>5.3 Consider and implement if appropriate other methods of training, e.g. e-learning.</p>	<p>December 2010</p> <p>December 2010 to September 2011</p> <p>May 2011</p>	<p>Risk Manager</p> <p>Risk Manager</p> <p>Risk Manager</p>	<ul style="list-style-type: none"> ▪ Programme available on intranet and circulated to relevant staff. ▪ Report to Audit Committee on Risk Management provides evidence of improvement in the provision of risk management training. ▪ Alternative training methods in use or available.
6. Improve efficiency & effectiveness of Risk Management support through the collaboration of systems & resources with key partners.	6.1 Develop a Corporate Governance Assurance Group consisting of officers from B&NES Council and NHS B&NES.	March 2011	Divisional Director Risk & Assurance / Assistant Director Communications & Corporate Affairs	<ul style="list-style-type: none"> ▪ Regular meeting of a Group with agreed Terms of Reference.